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香港投資者關係協會
Hong Kong Investor Relations Association

FINANCIAL PRACTITIONERS FINTECH TRAINING PROGRAMME 2022
HKIRA FINTECH ADVANCE WORKSHOP - "THE APPLICATION OF FINTECH WITH AN EMPHASIS ON ESG AND INVESTOR COMMUNICATION"

Dr. Eva Chan
Chairman
Hong Kong Investor Relations Association

Prof. Louis Cheng
Dr. S H Ho Professor of Banking and Finance
Director of the Research Centre for ESG and Director of the Research Institute for Business
The Hang Seng University of Hong Kong

Dr. Jerry Cao
Associate Professor at Hang Seng Business School
Affiliate of ESG Center
Chair of China Action Group

Mr. Chris Tse
Head of Greater China Institutional Business at Mirae Asset Global Investments

Date : 13 March 2023
Time : 2:30 PM - 5:00 PM
Register Now www.hkira.com

FINANCIAL PRACTITIONERS FINTECH TRAINING PROGRAMMER 2022 HKIRA FINTECH ADVANCE WORKSHOP – “THE APPLICATION OF FINTECH WITH AN EMPHASIS ON ESG AND INVESTOR COMMUNICATION”

Monday, 13 March 2023

14:30 – 17:00

Online (Zoom) + Physical
8/F Wheelock House,
20 Pedder Street, Central, Hong Kong

ABOUT HKIRA






Hong Kong Investor Relations Association (HKIRA) is a non-profit professional association comprising investor relations practitioners and corporate officers responsible for communication between corporate management and the investment community. HKIRA advocates the setting of international standards in IR education, advances the best IR practices and meets the professional development needs of those interested in pursuing the investor relations profession.

HKIRA is dedicated to advancing the practice of IR as well as the professional competency and status of its members. To date, HKIRA has about 1200 members most of whom are working for companies primarily listed on the Stock Exchange of Hong Kong. About 64% of the Hang Seng Index Constituent Stock companies are currently members of HKIRA. HKIRA's members are from a wide spectrum of professions including IR, finance, accounting, company secretarial to corporate investment and hold positions at different corporate levels, including top executives responsible for IR and management of listed companies.

For more information about HKIRA, please visit:

www.hkira.com

SOCIAL MEDIA

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	WeChat ID	hkiraadmin

AGENDA

TIME	CONTENT
14:15	Registration
14:30 – 14:35	Opening Remarks : Dr. Eva Chan
14:35 – 15:05	<u>Latest Development of Fintech in the Finance Industry</u> Dr. Jerry Cao Associate Professor at Hang Seng Business School Affiliate of ESG Center Chair of China Action Group
15:05 – 15:35	<u>AI & Private Equity Investment</u> Moderator: Professor Louis Cheng Dr. S H Ho Professor of Banking and Finance Director of the Research Centre for ESG Director of the Research Institute for Business, The Hang Seng University of Hong Kong Speaker: Dr. Jerry Cao Associate Professor at Hang Seng Business School Affiliate of ESG Center Chair of China Action Group
15:35 – 15:55	Break
15:55 – 16:25	<u>Application of Big Data on ESG Integration: An Alternative Data Perspective</u> Professor Louis Cheng Dr. S H Ho Professor of Banking and Finance Director of the Research Centre for ESG Director of the Research Institute for Business, The Hang Seng University of Hong Kong
16:25 – 16:55	<u>ESG x Fintech: Latest Practice of Asset Management on ESG Investment</u> Moderator: Dr. Eva Chan , Chairman, HKIRA Speakers: Professor Louis Cheng Dr. S H Ho Professor of Banking and Finance Director of the Research Centre for ESG Director of the Research Institute for Business, The Hang Seng University of Hong Kong Mr. Chris Tse Head of Greater China Institutional Business at Mirae Asset Global Investments
16:55 – 17:00	Concluding Remark: Dr. Eva Chan
17:00	End of Conference

BIOGRAPHIES OF SPEAKERS



Dr. Eva Chan

Chairman, Hong Kong Investor Relations Association (HKIRA)

Dr. Chan is the Head of Investor Relations of C C Land Holdings Limited (stock code: 1224). She has more than 25 years of experience and was the senior management of various listed companies in Hong Kong. She is mainly responsible for formulating the IR strategies for the company and conducting meetings with investors and fund managers all over the world. She also leads in a number of corporate finance exercises and corporate restructuring activities.

Dr. Chan is an Independent Non-Executive Director of Capital Environment Holdings Limited (stock code: 3989) and Xtep International Holdings Limited (stock code: 1368) and is appointed as an Adjunct Professor in the School of Accounting and Finance of the Hong Kong Polytechnic University and an Adjunct Professor of The Hang Seng University of Hong Kong .

Dr. Chan graduated from the City University of Hong Kong with a Bachelor of Arts in Accounting. She then received her MBA degree from the University of Nottingham. She also obtained a DBA degree from the Polytechnic University of Hong Kong. She is a fellow member of the Hong Kong Investor Relations Association, a fellow member of the Hong Kong Chartered Governance Institute, a fellow member of Hong Kong Institute of Certified Public Accountants and a fellow member of the Association of Chartered Certified Accountants.



Prof. Louis Cheng
Dr. S H Ho Professor of Banking and Finance
Director of the Research Centre for ESG
Director of the Research Institute for Business
The Hang Seng University of Hong Kong

Prof. Cheng is the Dr. S H Ho Professor of Banking and Finance. He is also the Director of the Research Centre for ESG as well as the Research Institute for Business at the Hang Seng University of Hong Kong. He was a member of the Investment Committee of the Hospital Authority Provident Fund Scheme (2016-2022) in which he led the effort in promoting ESG integration for an investment portfolio of about USD 8.74bil (as of March 2020). Prof. Cheng actively engages in ESG and green finance research. In addition to publishing on Green Bond, he received various research donation and grants related to ESG and financial services for a total exceeding HKD8mil. He is also a coauthor of a book chapter titled “Fintech Unicorns” in The Palgrave Handbook of Fintech and Blockchain, 2021.

Prof. Cheng is a member of the Business Studies Assessment Panel for Competitive Research Funding Schemes for the Local Self-financing Degree Sector under the Research Grants Council (RGC). He also served as a member of the Business Studies Panel (Joint Research Schemes) for the RGC, Solicitors Disciplinary Tribunal Panel, and University Council and Trustee Board of the Hong Kong Polytechnic University Pension Fund. He is currently a member of the Examination Board of The Institute of Financial Planners of Hong Kong (IFPHK). Prof. Cheng has over 100 articles and books published including the Journal of Finance. He is an author of Fundamentals of Financial Planning, and the lead author of Financial Planning and Wealth Management: An International Perspective, both by McGrawHill. Prof. Cheng served as a project consultant for various organizations including the United Nations, Bank of China, Investor Education Centre (IEC), SFC, MPFA, Value Partners, Agricultural Bank of China, BCT, Fubon Bank, HKEx, Charles Schwab, Tai Fook Securities, and HKSI.



Dr. Jerry Cao
Associate Professor at
Hang Seng Business School Affiliate of ESG Center
Chair of China Action Group

Dr Cao Xiaping (Jerry) serves as associate professor at Hang Seng Business School, affiliate of ESG center and chair of China Action Group. Dr. Cao graduated from Boston College with PhD degree in finance under supervision by Professor Josh Lerner from HBS , a Master degree from University of Western Ontario and BA from Huazhong University of Science and Technology. Dr. Can currently work as chair professor of finance in Shenzhen University. His prior placements include Singapore Management University and Sun Yat-sen University. Dr Cao helped found Asia Private Equity Institute at Singapore Management University and MIT REAP Guangzhou Center in SYSU. He is an expert in finance, innovation and entrepreneurship and has grown new interest in Fintech and Quant trading.

Dr Cao teaches in finance courses of all levels on Corporate Finance, IPO, M&As, VC and PE. Dr CAO also used to teach executive courses on Chinese capital market and global financial market. Dr Cao currently serves as associate editor for Economic Modelling and editorial board for Frontier in Artificial Intelligence and several special issue for Pacific Basin Finance Journal. His publication records include over 20 papers including ones in JFE, MS, JFQA, JCF and JBF.

Dr Cao currently advises several startups and he works currently with financial firms in advising AI transformation including NASDAQ listed firms and HK listed ones. Dr Cao receives many awards including best paper in FMA and academic conferences. Dr is also recipient of Lee Foundation Fellow in Singapore and MAS appreciation award for being a regular speaker at Finance Literacy Education for the Singapore government.



Mr. Chris Tse
Head of Greater China Institutional Business at
Mirae Asset Global Investments

Chris is currently Head of Greater China Institutional Business at Mirae Asset Global Investments and is responsible in developing regional institutional business platform as well as offering Global X ETF solutions to sovereign wealth funds, pensions and endowments.

Chris is a subject matter expert in designing and offering index/ESG solutions to the investment community throughout his tenure as an Asia regional leader with top 3 global index/ESG data providers, namely MSCI, FTSE Russell and Nasdaq Indexes. He worked closely with asset owners and other public-sector investment entities in their asset allocation and portfolio construction as well as risk management process. Moreover, he consulted with ETP/OTC product issuers and regional exchanges to ensure index solutions were accessible and consistently meeting investors' needs.

Before switching his career to index industry in 2010, Chris held senior positions at Credit Agricole Asset Management (nowadays Amundi), Franklin Templeton Investments and Manulife where he worked in various areas including fund distribution, investment advisory as well as product management and training.

Chris Tse was Chairman of the Institute of Financial Planners of Hong Kong (IFPHK) that is an affiliate member of an international assembly of the Financial Planning Standards Board (FPSB). FPSB is an affiliate member of the International Organization of Securities Commissions (IOSCO), and works with its network of member organizations at the national and regional levels to champion the interests of consumers and providers of financial planning advice. In 2015/16, he was appointed as Advisory Committee member by Investor and Financial Education Council (IFEC) to assist them in formulating financial education initiatives for Hong Kong SAR. He is currently sitting in investment committee for both a public entity and an industry association.

Chris acquired two Master degrees, one in Economic Analysis & Policy from the University of Warwick in the United Kingdom and the other in Investment Management from the Hong Kong University of Science and Technology. Chris is a Chartered Financial Analyst (CFA) holder and has extensive professional qualifications in investment and financial risk management. In order to enhance his competence in offering Green and Sustainable investment solutions to institutional clients, he completed Certified ESG Analyst (CESGA) by EFFAS and Sustainability and Climate Risk (SCR) Certificate awarded by GARP. He has been appointed as Honorary Fellow (Master of Applied Finance: Portfolio Management and Valuation) by Macquarie Applied Finance Centre, Macquarie University since 2015.

About Investor Relations Awards

The HKIRA Investor Relations Awards (the “IR Awards”) is an annual campaign that aims to encourage, recognize and reward the excellence in investor relations practices by individuals and companies listed in Hong Kong Stock Exchange. Since the launch in 2015, each year the Awards seeks out and highlights the incredible achievements of individuals and companies with high standards in investor relations through their role modeling to the investment community.

The Awards ceremony, consisting of a conference in the morning and presentation in the afternoon, is a spectacular gathering of IR specialists and industry professionals that applauds and publicizes the year’s achievements in investor relations.

How Are The Awards Selected

1

NOMINATION

The Awards is open to public for nominations whose achievements deserve to be honored and have demonstrated the best IR practices for others to learn from.

2

ONLINE VOTING

After confirmation of the nominee’s participation, the nominated individuals and companies enter into an online survey. Domestic and international investment community including buy side and sell side analysts, and fund managers are eligible to participate in the online survey and vote on their choices of the Awards. Voters are expected to cast their top 3 choices for each award category.

3

SELECTION BY JUDGING PANEL

Top award finalists in each of the award categories will enter into the selection process for the Most Progress in IR and the Overall Best IR Company Awards, while the top finalists of the Best ESG (E), Best ESG (S) and Best ESG (G) Awards will enter into the selection process for the Grand ESG Award. Members of the Judging Panel for the HKIRA Investor Relations Awards have been carefully selected among esteemed professionals to ensure a fair and balanced evaluation and selection of award winners.

VOTE ON 21 MARCH 2023